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## NOTICE OF ALLOWANCE AND FEE(S) DUE

22850 7590 11/05/2009

OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, L.L.P.

1940 DUKE STREET ALEXANDRIA, VA 22314 EXAMINER
ALEJANDRO RAYMOND

ART UNIT PAPER NUMBER

1795

DATE MAILED: 11/05/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/535,400	05/18/2005	Volker Hennige	271320US0PCT	4418

TITLE OF INVENTION: SEPARATOR WITH LONG-TERM STABILITY FOR AN ELECTROCHEMICAL CELL

APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	02/05/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THE APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This appropriate. All further condicated unless corrected maintenance fee notification	form should be used f correspondence includin d below or directed oth ions.	or trang the	nsmitting the ISSU Patent, advance or in Block 1, by (a					nould be completed where correspondence address as trate "FEE ADDRESS" for
CURRENT CORRESPONDE	NCE ADDRESS (Note: Use Blo	ock 1 for	any change of address)	N Fe ps ha	ote: A certificate of e(s) Transmittal. Th pers. Each addition: we its own certificat	mailing is certical paper of ma	g can only be used fo ficate cannot be used f r, such as an assignme illing or transmission.	r domestic mailings of the or any other accompanying nt or formal drawing, must
20000	REET		MAIER & NI	EUSTADT L.L.B	Cer	rtificat	e of Mailing or Trans	mission g deposited with the United tt class mail in an envelope above, or being facsimile ate indicated below.
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				L				(Signature)
				L				(Date)
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10/535,400	05/18/2005			Volker Hennige		- 1	271320US0PCT	4418
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nonprovisional	NO		\$1510	\$300	\$0		\$1810	02/05/2010
EXAMI	NER		ART UNIT	CLASS-SUBCLASS				
ALEJANDRO,	RAYMOND		1795	429-142000	_			
"Fee Address" indic PTO/SB/47; Rev 03-02 Number is required.  3. ASSIGNEE NAME AN	ondence address (or Cha 7122) attached. cation (or "Fee Address' 2 or more recent) attach ND RESIDENCE DATA sess an assignce is identi- in 37 CFR 3.11. Comp	nge of 'Indic ed. Us	Correspondence ation form e of a Customer		to 3 registered pater tively, gle firm (having as a agent) and the nan torneys or agents. If he printed. type) patent. If an assign assignment.	nt attor a memi nes of u no nar	per a 2	ocument has been filed for
Please check the appropria  4a. The following fee(s) a  Issue Fee		catego		. Payment of Fee(s): (PI	ease first reapply a			oup entity Government
	o small entity discount r	ermitt	ed)	A check is enclosed  Payment by credit of		R is att	ached	
☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies				The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
	SMALL ENTITY statu	s. See	37 CFR 1.27.	b. Applicant is no lo	onger claiming SMA	LLEN	TITY status. Sec 37 Ci	R 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the re	Publication Fee (if requeecords of the United Sta	ired) tes Pat	will not be accepted ent and Trademark	from anyone other that Office.	the applicant; a reg	istered	attorney or agent; or th	e assignee or other party in
Authorized Signature Date								
Typed or printed name					Registration !			
This collection of informa an application. Confidenti submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 2231	tion is required by 37 C iality is governed by 35 application form to the ons for reducing this but reginia 22313-1450. DC 3-1450.	FR 1.3 U.S.C USPT den, sl NOT	11. The informatic . 122 and 37 CFR O. Time will vary hould be sent to the SEND FEES OR O	on is required to obtain on 1.14. This collection is a depending upon the ince Chief Information Officompleted FORMS	r retain a benefit by estimated to take 12 lividual case. Any co cer, U.S. Patent and TO THIS ADDRES	the pub minute ommen Trader S. SEN	lic which is to file (and s to complete, includin ts on the amount of tir nark Office, U.S. Dep D TO: Commissioner	by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

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## UNITED STATES PATENT AND TRADEMARK OFFICE

#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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1940 DUKE STR		ART UNIT	PAPER NUMBER			
ALEXANDRIA,	VA 22314	1795				

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 840 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 840 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Interview Summary

		7.0.0						
	Raymond Alejandro	1795						
All participants (applicant, applicant's representative, PTO personnel):								
(1) Raymond Alejandro.	(3)							
(2) <u>Stefan U. Koschmieder</u> .	(4)							
Date of Interview: <u>10/29/09,11/02/09</u> .								
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2	2)  applicant's representative	e]						
Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No. If Yes, brief description:								
Claim(s) discussed: <u>1, 3-4, 39</u> .								
Identification of prior art discussed: all cited references.								
Agreement with respect to the claims f) was reached. g	) was not reached. h) № N	I/A.						
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <a (a="" (see="" 713.04).="" a="" action="" agreed="" allowable="" allowable,="" already="" also,="" amendments="" and="" applicant="" attached="" attached.="" attached.)="" available,="" be="" been="" claims="" copy="" date="" date,="" days="" description,="" examiner="" file="" filed,="" form,="" formal="" from="" fuller="" given="" has="" href="authorization was given by applicant's representative to make an Examiner's amendment so as to place the instant application in condition for allowance." if="" include="" interview="" interview.="" is="" last="" later,="" longer="" malling="" month="" mpep="" must="" necessary,="" no="" non-extendable="" of="" office="" on="" one="" or="" period="" record="" render="" reply="" requirements="" reverse="" section="" see="" sheet.<="" side="" statement="" substance="" summary="" td="" that="" the="" thereof="" thirty="" this="" to="" where="" which="" whichever="" would="" written=""></a>								
/Raymond Alejandro/	,							
Primary Examiner, Art Unit 1795								

## Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record
A complete written statement as to the substance of any factor-bace, video conference, or telephone interview with regard to an application must be made of record in the application where or not an apprenent with the examiner was reached at the interview.

## Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135, (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patient of Trademark Office should be Iransacted in writing. The personal attendance of applicants or their attomeys or agents at the Patient and Trademark Office is unnecessary. The action of the Patient and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant of the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the interview Summay Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the
- Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the applicant may desire to emphasize and fully
  - describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.